

ATTORNEY DOCKET: 72167.000158

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Number

09/495,393

Confirmation No.:

4790

**Applicant** 

Albert Kwang-Hwa Sun

Filed

January 31, 2000

Title

System and Method for Integrating Trading Operations Including the

Generation, Processing and Tracking of and Trade Documents

TC/Art Unit

3624

Examiner:

Richard C. Weisberger

Docket No.

72167.000158

Customer No.

21967

MAIL STOP AMENDMENT

09/21/2005 SZEWDIE1 00000073 09495393

Commissioner for Patents

01 FC:1806

180.00 OP

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Alexandria, VA 22313-1450

## SUBMISSION OF INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, applicants submit attached Form PTO-SB/08A (modified) for consideration and request the references cited therein be made of record by the U.S. Patent and Trademark Office in the above-captioned application.

In accordance with 37 C.F.R. § 1.98(a)(2)(ii), copies of cited U.S. patents and/or U.S. patent application publications for national stage applications under 35 U.S.C. 371, are not being provided.

Applicants respectfully point out that the submission of the listed references in this Information Disclosure Statement is not an admission that they are prior art or that they are material to patentability of any claims of the application. Also, the submission of this Information Disclosure Statement is not an indication that a search has been made by Applicants.

For the convenience of the Examiner in considering the cited references, a copy of each of the cited references is enclosed with this communication. In considering the cited references, it may be noted by the Examiner that certain of the references may contain markings, underlinings, and/or other notations. These markings, underlinings, and/or other notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other Submission of Information Disclosure Statement Application No. 09/495,393

parts of the cited references. Any such markings were either present on the copies of the cited

references obtained by the associated individuals, or were made thereon during the study of the

references by the associated individuals.

As this application was filed after June 30, 2003, copies of U.S. patents and/or

U.S. patent application publications for national stage applications under 35 U.S.C. 371 cited on

the attached Form PTO-SB/08A (modified), are not being provided as specified in 1276 O.G. 55

(5 August 2003).

Consideration of the foregoing plus the prompt return of a copy of the enclosed

Form SB/08A with the Examiner's initials in the left column in accordance with MPEP 609 are

respectfully requested.

This Information Disclosure Statement is being submitted after the mailing of a

non-final Office Action, but is believed to be prior to a final Office Action or a Notice of

Allowance. Pursuant to 37 C.F.R. § 1.97(c)(2), a check in the amount of \$180.00 as set forth in

§ 1.17(p) is enclosed. In the event any variance exists between the amount enclosed and the

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In the event any variance exists between the amount enclosed and the Patent

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Respectfully submitted,

HUNTON &

By:

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Dated: 9/20/05

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Substitute for form 1449A/PTO **Application Number** 09/495,393 Filing Date 01/31/2000 INFORMATION DISCLOSURE First Named Inventor Albert Kwang-Hwa Sun STATEMENT BY APPLICANT **Art Unit** 3624 (use as many sheets as necessary) **Examiner Name** Richard C. Weisberger Sheet 1 of 2 **Attorney Docket Number** 72167.000158

*Examiner Initials	Cite No.	Bi-	CUMENT NUMBER er - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages o Relevant Figures Appear
	1.	US-	4,621,325	11/04/1986	Naftzger et al.	
	2.	US-	4,713,761	12/15/1987	Sharpet et al.	
	3.	US-	4,972,318	11/20/1990	Brown et al.	
	4.	US-	4,975,841	12/04/1990	Kehnemuyi et al.	
	5.	US-	4,984,155	01/08/1991	Geier et al.	
	6.	US-	4,992,940	02/12/1991	Dworkin	
;	7.	US-	5,117,354	05/26/1992	Long et al.	
	8.	US-	5,204,821	04/20/1993	Inui et al.	
	9.	US-	5,224,034	06/29/1993	Katz et al.	
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	KAMINER SIGNATURE				DATE CONSIDERED	

citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Substitute form 1449A/PTO 09/495,393 **Application Number** Filing Date 01/31/2000 INFORMATION DISCLOSURE First Named Inventor Albert Kwang-Hwa Sun STATEMENT BY APPLICANT **Art Unit** 3624 (use as many sheets as necessary) **Examiner Name** Richard C. Weisberger Sheet 2 of 2 **Attorney Docket Number** 72167.000158

OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS											
*Examiner	Cite	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the									
Initials	No.	item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			ATION NO						
	10.	International Search Report, International Applicati 9 references, June 27, 2004	on No. PCT/US94/05046, 2 pages, citing								
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